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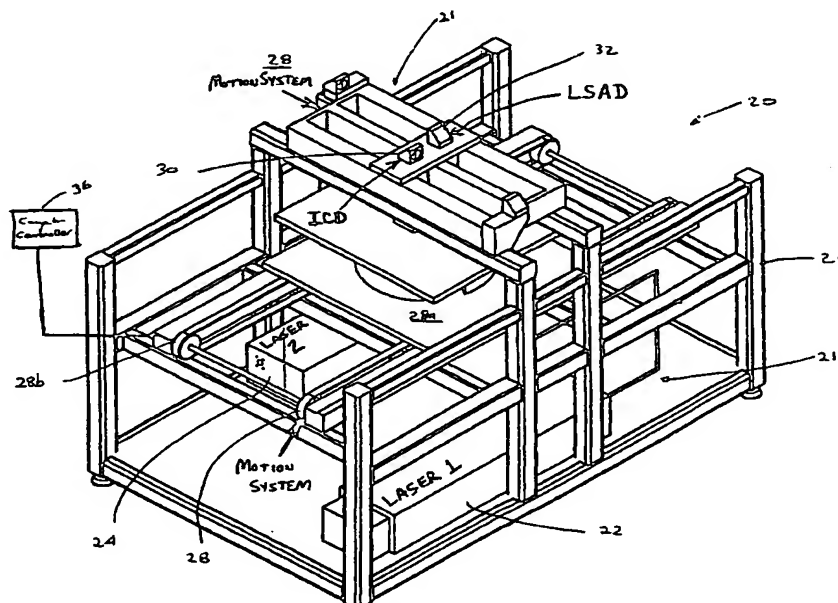
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**(54) Title: METHOD AND APPARATUS FOR SEPARATING NON-METALLIC MATERIALS**



**(S7) Abstract:** A method and apparatus for splitting non-metallic substrates includes a laser for generating a laser beam. An integrated cracking device receives the laser beam and directs the laser beam onto a non-metallic substrate to define the heat affected zone and quench the substrate at a quenching region contained within the heat affected zone. This integrated cracking device includes a housing and optics fitted within the housing for receiving and directing the laser beam onto the substrate. A quenching nozzle is mounted on the housing for quenching the substrate at a quenching region defined within the heat affected zone.

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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**METHOD AND APPARATUS FOR SEPARATING  
NON-METALLIC MATERIALS**

**Related Application**

This application is based upon prior filed  
copending provisional application Serial No. 60/167,285  
filed November 24, 1999.

5

**Field of the Invention**

This invention relates to a method and  
apparatus for precisely separating non-metallic  
materials into a plurality of smaller pieces, and more  
10 particularly, this the invention relates to a method  
and apparatus for splitting non-metallic materials by  
controlling internal forces and propagating a  
microcrack to separate a material along a desired path.

15

**Background of the Invention**

Propagating a microcrack in a brittle  
material by using a laser has been known for at least  
three decades by those skilled in the art. In U.S.  
Patent No. 3,610,871 to Lumley, the disclosure which is  
20 hereby incorporated by reference in its entirety,  
ceramic substrates are parted by reflecting a focused  
laser beam off a mirrored surface so that the focal  
point of the beam impinges upon the lower surface of  
the substrate of an extreme edge. After a localized  
25 fracture, the substrate is displaced, relative to the  
laser beam, to intercept the beam before it is  
reflected from the mirrored surface. The laser beam is

intercepted by the upper surface of the substrate before the beam reaches its focal point, resulting in the beam energy being distributed over a larger area. As displacement continues, the localized fracture is  
5 controllably propagated.

This technique has not yet become commercially viable for many applications because of slow process speeds, complicated laser modes, poor understanding of laser scribing mechanisms, and time  
10 consuming, archaic two-step processes (e.g. scribe and break), which generate particulate and microcracks, thus counteracting a primary advantage of laser separation.

To overcome these and other known  
15 disadvantages, fast, reliable laser scribing, single step separation, and efficient use of a device that is simple yet powerful are desirable.

### Summary of the Invention

20 It is therefore an object of the present invention to provide a method and apparatus that separates non-metallic materials through highly controlled propagation of a microcrack and precise splitting.

25 The present invention is advantageous and includes features that enable fast process speeds, full separation, increased accuracy, highly controlled thermal gradients, improved edge quality, effective crosscutting, reduced edge effects, a simplified  
30 design, and increased flexibility and reduced cost.

The present invention is advantageous and provides a method and apparatus for splitting non-metallic substrates such as silicon or ceramic as non-limiting example, and comprises the steps of  
35 initiating a microcrack within a substrate and scribing

the substrate with a laser beam at a heat affected zone that has been imparted onto the substrate by the laser beam. The microcrack is quenched at a quenching region that is contained within the heat affected zone by  
5 passing fluid from a quenching nozzle onto the substrate. A force is applied onto the substrate at a location behind the quenching region to break the substrate while maintaining residual forces below a critical breaking force in front of the quenching  
10 region.

The microcrack can be initiated with a mechanical initiator. In one aspect of the present invention, the laser beam is directed around the quenching nozzle via mirrors associated with the  
15 quenching nozzle. The fluid that is passed from the quenching nozzle can be a liquid and/or gas. A vacuum can also be drawn through the nozzle to remove any residual liquid and control gas flow. The temperature of the substrate can be elevated in a programmed manner  
20 before scribing, such as passing laser light through one of either a faceted or diffractive optical lens element.

In yet another aspect of the present invention, the substrate is scribed with a laser beam  
25 via an integrated cracking device. The quenching nozzle is integral with the integrated cracking device. In yet another aspect of the present invention, the integrated cracking device includes a housing with the quenching nozzle mounted on the housing. Optics are  
30 fitted within the housing for receiving and directing the laser beam onto the substrate. In one aspect of the present invention, the optics include a mirror and single element lens fitted within the housing. The single element lens can comprise a double asymmetric  
35 cylinder lens element.

**Brief Description of the Drawings**

Other objects, features and advantages of the present invention will become apparent from the detailed description of the invention which follows,  
5 when considered in light of the accompanying drawings in which:

FIG. 1 is an enlarged drawing of the substrate showing the various stages of the splitting or laser separation process with microcrack initiation,  
10 acceleration, scribing and quenching, followed by breaking.

FIG. 2 is an isometric drawing of the apparatus for splitting non-metallic substrates of the present invention.

15 FIG. 3A is an enlarged fragmentary sectional drawing of the integrated cracking device of the present invention.

FIG. 3B is an enlarged fragmentary drawing of the laser scribing acceleration device of the present  
20 invention.

FIG. 4 is an enlarged fragmentary view of the double asymmetric cylinder lens element that is contained within the integrated cracking device of the present invention.

25 FIG. 5 illustrates laser beam profiles developed by the double asymmetric cylinder lens element of the present invention.

FIGS. 6A through 6C illustrate a truncated beam configuration showing a side view (FIG. 6A), top  
30 view (FIG. 6B), and side view (FIG. 6C) of the quenching nozzle and showing the use of adjustable mirrors.

FIGS. 7A through 7D show the use of the inflatable channel bladder that can be used with the  
35 present invention.



FIG. 8 shows the leading and trailing edge of a substrate and showing speed and beam control relative to the cut line and how edge effects are controlled.

FIG. 9 illustrates possible operational modes and configurations with various modes as illustrated.

FIG. 10 is a copy of a photograph illustrating the operation of the invention.

#### Detailed Description of the Preferred Embodiments

10           The present invention will now be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should  
15 not be construed as limited to the embodiments set forth herein. Rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art. Like numbers refer to like  
20 elements throughout.

For purposes of description, there follows a detailed explanation of some of the problems encountered with existing systems, the drawbacks associated with these systems, and a discussion of the  
25 basic prior art technology.

There are two primary mechanisms used in designing a system for separating non-metallic materials: (1) the thermal and (2) stress/strain mechanisms. In the thermal mechanism, any brittle  
30 material exceeds its critical thermal shock temperature when its temperature is elevated to a desired level and then rapidly quenched to break its molecular bonds. This forms a "blind crack" in the material. In the second mechanism, the three-dimensional stress/strain  
35 field relationship within the material is analyzed.

This field relationship varies because of internal thermal variations, external forces, internal forces, and the varying edge strength of the material. One aspect of this invention matches optimum thermal conditions for consistent and controllable thermal cracking (e.g. "laser scribing") with optimum stress/strain field conditions to separate fully a non-metallic material in a prescribed, controlled manner.

10 To propagate any microcrack through a brittle material, the system must exceed the critical thermal shock temperature ( $\Delta T_{cr}$ ) or point at which the molecular bonds in the material break to form a blind crack within the material. This is normally  
15 accomplished by heating the material to a given temperature and quenching the material using a coolant stream to exceed the critical thermal shock temperature ( $\Delta T_{cr}$ ).

For some materials,  $\Delta T_{cr}$  is minimal and  
20 little quenching is required to propagate successfully a microcrack. In these instances, a cooling gas alone, such as Helium, can be used for quenching. For other materials, especially those with low thermal expansion coefficients, a high gradient is required to exceed  
25  $\Delta T_{cr}$ , and thus, a gas/water mixture is required for effective quenching. In this case, the latent heat released from the evaporation of the liquid is combined with convective and conductive heat transfer, and serves to quench the material in a more efficient  
30 manner, thereby exceeding the critical thermal rupture temperature.

Even with optimized quenching, however, proper initial boundary conditions are required to successfully achieve laser scribing. The temperature  
35 of the material should be elevated to give the

quenching "room" to exceed the critical thermal rupture temperature. Often, the process window between the minimum temperature and the maximum temperature, e.g., the softening temperature for glass, is very small.

5 This requires precise control of the heat affected zone as shown in FIG. 1, illustrating the microcrack initiation in a substrate S, the breaking region, quenching region, scribe beam and acceleration beams, and energy,  $Q_{in}$  and  $Q_{out}$ , for respective scribe beam  
10 and quenching.

Traditional scribing operations typically required a second break step after an initial vent or blind crack was formed in the material. Using traditional mechanical methods for completing the  
15 break, a bending moment was imparted (such as by the use of a "guillotine" breaker). A force was applied to complete the separation of the material along the scribed area. The amount of force required to effect full separation is termed the Critical Breaking Force  
20 ( $F_{cb}$ ). When scribing thin material (e.g. less than 0.5mm), the residual tensile forces in the material separate the glass. For thicker material, however, the residual tensile forces resulting from the laser scribing operation are usually not sufficient to  
25 separate fully the material. In other cases, the tensile forces are excessively large and material separates in an uncontrollable manner, moving well ahead of the quenching region. The break is not straight because thermal gradients control symmetric  
30 separation dynamics. Some prior art designs used dual parallel beams without quenching as a separation mechanism. These designs, however, had asymmetries and caused irregular cuts. The present invention, on the other hand, separates a substrate in a controlled  
35 manner by applying a large enough force ( $F_{cb}$ ) at an

appropriate location behind the quenching region, while keeping the residual forces below the critical breaking force ( $F_{cb}$ ) in front of the quenching region as shown diagrammatically in FIG. 1.

5           The entry and exit crack in any given material is an important consideration when separating a substrate in a controlled manner. The edge of a substrate is much weaker than its bulk portions making the material susceptible to uncontrolled cracking after  
10 introducing thermal shock. Also, any microcracks can be present in the material, such as created by edge grinding or other mechanical processes. The microcracks must be considered. The material edge also tends to heat faster than other portions because the  
15 edge serves as a boundary between conductive and convective heat transfer regions. The present invention aids in overcoming edge effects, such as intrusions and extrusions.

          An initial microcrack is required to  
20 propagate a microcrack through a material. Many materials already have microcracks positioned along their edges as result of previous manufacturing processes. It has been found more desirable, however, to introduce a microcrack in a controlled manner at a  
25 given location than to rely on residual microcracks. Edge treatment techniques, however, have improved, and thus it is more difficult to initiate a microcrack along these new types of edges that have been engineered to withstand cracking. The present  
30 invention aids in reliable scribe initiation along these better engineered edges.

          Once a substrate has been fully separated in one direction, making cuts in a second direction (usually 90 degrees) becomes more challenging. One  
35 prior art method for breaking a substrate in the new

direction is disclosed in U.S. Patent No. 5,826,772 to Ariglio et al., the disclosure which is hereby incorporated by reference in its entirety. In Ariglio et al., a laser is moved across a surface to form two  
5 vent cracks on respective sides. A bending movement is applied under the vent cracks to break the sheet. The system does not work adequately for separated boundaries.

One conventional system requires two steps to  
10 break the material: (1) a scribe step; and (2) a mechanical breaking step. This type of system is commonly used when the substrate thickness is greater than 0.5 mm, and the residual tensile forces in the substrate are not sufficient to separate the substrate.

15 Another conventional system uses wide dual break beams, usually greater than 8 mm, causing thermal shock on the perimeter of an intended cut. This weakens the glass and/or uncontrollably cracks the glass. Sometimes separation occurs within a limited  
20 path width because electronic devices or coatings/layers are positioned on either side of the cut.

Laser beam delivery systems that require multiple optical elements also offer little flexibility  
25 in their designs. These multiple optical elements absorb or reflect a significant amount of the laser power, e.g., 5% per element for AR coated ZnSe elements, resulting in a loss of more than 36%, if a six element system is used. Additionally, complex  
30 optical systems are massive, difficult to move, require precise alignment, and calibration, which can easily be jarred out of place. Finally, the critical distances commonly existing between the quenching nozzle, the scribe beam, the break beams, and scribe initiation are  
35 difficult to adjust and are inherently unstable.

Many conventional systems accomplish unidirectional cutting only because of the large mass of a beam delivery system, and the independent control of not only scribe initiation, but also the quenching  
5 nozzles and similar elements.

In some manufacturing systems, there is room for only one laser head per machine. Therefore, multiple heads that cut simultaneously to save time in manufacturing are not possible. Some fixed optical  
10 systems require almost twice the conventional equipment footprint because of the inherent inefficiencies resulting from moving the workpiece under a laser beam, instead of moving the laser relative to the workpiece.

The distance between the scribe and break  
15 beams is fixed in many prior art designs and the footprint of a machine is limited to a finite width, limiting machine flexibility when changing from one material to another material. Also, the relative beam power between the scribe and break beams is regulated  
20 by physically changing a beam splitter or adjusting a faceted element. When using a beam splitter, the relative power is a function of the coating on the beam splitter and is difficult to reproduce. Conventional nozzle designs lead to inconsistent flows and leave  
25 water or other liquid residue on the workpiece.

Referring now to the drawings, and more particularly FIG. 2, the major components of the present invention form a full material separation laser system, and is illustrated generally at 20. The system  
30 includes single or multiple laser sources and associated options, forming an optical system, indicated generally at 21. In the illustrated embodiment, the optical system 21 includes two lasers 22, 24, which are supported on a machine frame 26. A  
35 motion system 28 includes a support table 28a that

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traverses the frame by belt drive mechanism **28b** and moves the workpiece relative to the optical system **21** formed by the lasers **22, 24**. The lasers form two (or more) beam paths. The system includes an integrated  
5 high gradient cracking device (ICD) **30**, a laser scribe acceleration device (LSAD) **32**, and a supplemental breaking device **34**.

The laser source, whether one or two lasers, is chosen based on the material to be separated. The  
10 laser source should be efficient, reliable, and have an output wavelength with an absorption coefficient close to 100%, allowing laser radiation to be absorbed primarily at the surface of the material to be separated. For example, when scribing glass, a CO<sub>2</sub>  
15 laser source with an output frequency of 10.6 microns is desirable. When scribing silicon, a YAG laser source with an output frequency of 1.06 microns or less is desirable. The laser should operate in the TEM<sup>00</sup> mode, thus providing a beam profile that is  
20 predominately gaussian in shape. If flying optics are used, uniform collimated output should be achieved such that the laser beam profile does not appreciably change from one point to another. It is also advisable to provide enough space between the output of the laser  
25 and the flying optics, allowing the laser beam time to transition in "far field" conditions.

The selection of the laser output frequency does not necessarily have to correspond with maximum absorption efficiency at the LSAD **32** beam path. It may  
30 be desirable to select a laser frequency significantly less than 100% to allow for heating throughout the body of the material. This serves to heat efficiently the bulk of the material in the region of interest, while limiting tensile forces and radiative heat loss at the

surface. It is important, however, to achieve the same collimation criteria previously mentioned.

In some cases, different laser frequencies can be mixed within the same region or beam spot. For example, a laser may be used to preheat a material at a frequency that is highly absorbed. The material can then be subsequently heated by a laser of differing frequency that would normally not be highly absorbed by the material. This is possible because of increased temperature dependent absorption or free carrier absorption.

The motion system 28 uses a computer 36 to control the movement of the workpiece W relative to the laser output. One possible control method generates control signals from the computer to move the workpiece in the x, y, and  $\theta$  directions while holding the optics stationary. Conversely, a workpiece can remain stationary, while the optical system carrying the laser is moved in all directions. A hybrid approach allows both the optical system and the workpiece to be moved in limited directions. By rotating the optical system 180 degrees, bidirectional cutting is possible. A multiple ICD array formed from ganged or operatively connected ICD 30 units could increase production. A multiple ICD 30 can be moved into the beam path at an appropriate time. It is also possible to cut on both the top and bottom side of the material by placing the workpiece on a process table with slots underneath any desired cuts. The process table can also facilitate breaking when a roller breaking device is placed underneath the workpiece.

FIG. 3A illustrates the integrated high gradient cracking device 30 of the present invention. An integrated optical path 40 is formed by mirror (MI) 41, which receives the laser beam. The ICD 30 also



includes a quenching mechanism **42**, optional shutter **44**, and water removal mechanism **46** associated with the quenching mechanism. This device is simple and flexible, allowing a user to achieve the desired high thermal gradients in a material. A triple, reflective quenching mechanism (TRQM) in the form of a preferred quenching nozzle **50** of the present invention is illustrated at FIGS. 6A, 6B and 6C, and can be used for controlled high temperature gradients in a substrate.

10           The nozzle **50** is fitted with a reflective cover **52** (FIG. 6B) to redirect the laser beam around the nozzle and cause a portion of the laser beam radiation to impinge on the workpiece near, adjacent to, intersecting, around, or within the quenching  
15   region.

          In one aspect of the present invention, a custom, single element lens L1, shown generally at **53**, is used in the ICD **30** for laser scribing. This makes an efficient and flexible design. A single element can  
20   reduce the size and weight of the laser head by more than 70%. In yet another aspect of the present invention, the custom lens comprises a Double Asymmetric Cylinder Lens Element (DACLE) **54** (FIGS. 3A and 4), which is used in the present invention to  
25   achieve a desired laser beam profile.

          A microcrack initiator (MI) **60** is mounted directly on the ICD housing **30** and, in one aspect of the invention, is operable by a standard scribe wheel **62** that is mounted on a vertical drive, z stroke  
30   mechanism **64**, to create a microcrack on the edge of the material to be separated. The MI **60** is operable after the Laser Scribe Acceleration Device (LSAD) **32** operation to reduce any chance that the heat generated by the LSAD will prematurely propagate a microcrack.

The system of the present invention can also incorporate a Laser Scribe Initiation option using ablative YAG pulses at the surface of the glass as shown in photo 1 of FIG. 9.

5 Referring once again to FIG. 3A, the integrated cracking device **30** includes the housing **30a** formed in one aspect of the invention as a single tube, either circular or square in cross-section. The ICD **30** includes the single custom optical element (L1) indicated generally at **53**, in the form of a DACLF **54**,  
10 in one aspect of the invention, and microcrack initiator (MI) **60**, quenching mechanism (Q1) **42**, as part of nozzle **50**, mirror element (M1) **41**, and shutter **44**.

The single optical element **53** is designed to  
15 provide an optimal thermal footprint that is, in general, an elliptical beam no greater than 80 mm long and no wider than 5 mm. It is also desirable for this element to exhibit a flat top profile in each direction. This can be achieved in a number of ways  
20 using a collimated input beam. A diffractive optical element can be used when the internal structure of the lens is altered to provide a preprogrammed output profile. Another and less expensive way is the use of the Double Asymmetric Cylindrical Lens Element (DACLE)  
25 **54**, as shown in greater detail in FIG. 4. The curved "concave" surface (S1) **68** is configured to have an optimum negative focal length to control the beam length (l) and energy distribution in the direction of the cut (x). The opposite curved or "convex" surface  
30 (S2) **70** is configured to have an optimum positive focal length and control the beams width (w) and its energy distribution orthogonal to the cut direction (y). The curved surfaces are programmed to provide an output that is optimal for cutting. An example of an optimum

profile is shown in the DACLE beam profiles of FIG. 5 showing "x" and "y" views and the gaussian beam profile.

Reflective features that are built into the quenching nozzle **50** can further modify the optimum output profile, and enable the quenching region to be placed entirely within the heat affected zone, as shown in FIG. 1. This helps achieve the desired high gradients in the quenching region, places tensile forces behind the quenching region, and creates a heated zone behind the nozzle that can be used to evaporate any residual liquid from the nozzle. It adds flexibility to the system by allowing a user to place the quenching virtually anywhere within the boundary of the beam spot. This can not be accomplished using traditional non-reflective nozzle designs because the prior art nozzles block the radiation from the workpiece, making it difficult, if not impossible, to place the quenching within or directly in contact with the beam spot. The reflective nozzle also permits an extended beam path, which causes the energy density to be higher than the front section of the beam, thereby creating higher tensile forces behind the quenching zone.

FIG. 6A ("x" view) illustrates the quenching nozzle **50** of one aspect of the present invention, and shows the adjustable mirrors **72** and reflective cover **52**. The mirrors are positioned somewhat equilaterally, although not necessary, and reflect off the mirrors to form a beam spot. In accordance with the present invention, the quenching region is contained within the heat affected areas shown in FIGS. 6A-6C. In addition to reflective features provided by adjustable mirrors, the TQRD has three distinct fluid systems that provide efficient quenching, as shown in FIG. 6B. In a

preferred configuration, a liquid, such as water, is channeled through a middle tube **74**, a gas is directed through a coaxially configured outer tube **76**, and a vacuum is applied to the outermost region **78** (FIG. 6B). In this configuration, high pressure air dynamically channels the liquid toward the center of the quenching region, while the vacuum removes any residual liquid and controls the air flow. An optional high frequency piezo-electric transducer (not shown in detail) can be placed on the nozzle to help break up and atomize the water to improve quenching efficiency. FIG. 6C illustrates the "y" view.

As shown in FIG. 3A, the optional shutter **44** is placed between the custom lens element **53** and the workpiece W and can be used to block selectively a portion of the laser radiation to effectively shorten the beam spot on the workpiece. The shutter **44** can change the beam length during the laser cutting process and achieve a desired affect. For example, the shutter can truncate a front section of the laser beam while the laser beam is near the leading or trailing edge of the substrate to avoid overheating the edges, as shown in the edge effect diagram of FIG. 8.

The laser scribe acceleration device (LSAD) **32** (FIG. 3B) elevates the temperature of the workpiece in a programmed manner, allowing increased process speeds. This device **32** is positioned a finite distance in front of the ICD and helps establish the proper thermal boundary conditions for the scribe beam. Thus, the ICD achieves precise microscopic thermal gradients and practice scribing.

In one aspect of the present invention as shown in FIG. 3B, the LSAD **32** is similar in design and construction to the ICD. The LASD **32** includes a

housing **80**, mirror M2 **82**, and single lens element **84** incorporated into a mounting device **86** which, in turn, is secured in the housing **80** (FIG. 3B). The single lens element **84** can be a faceted element or diffractive element. A single long beam or a series of beam spots can be used depending on the application. An advantage of using a series of beam spots is that the relative power of each spot can be adjusted to provide for a gradual increase in the heating or energy density of the material. In addition, various laser wavelengths can be used to tailor the heating in the z direction of the material. Modeling and experimentation can determine optimum LSAD parameters.

The substrate breaking device **34** permits full separation of substrates, using a variety of techniques, including: (1) chilling the bottom surface of the substrate; (2) heating the top of the substrate using a stream of hot air, dual laser beams, a single laser beam, or a single laser beam operating in the TEM<sub>20</sub> mode; (3) mechanically stressing the substrate in the desired manner utilizing innovative features built into a process table; (4) an inverse roller breaking device to create the desired compressive/tensile forces in the substrate; and (5) Shear force separation techniques for laminated glass to eliminate or reduce microcracks.

Selectively chilling the bottom surface of the substrate or providing a heat sink directly underneath the intended cut introduces compressive forces to aid in full separation. This can be combined with other techniques. Introducing heat at the surface of the substrate creates tensile forces on the substrate surface that facilitate full separation. Placing an expandable tube **88** in a channel of the

support table for the substrate, as shown in FIG. 7, increases the tensile forces on the substrate surface. The inflatable tube can be advantageously deflated to bring the substrate back to a flat orientation. This facilitates crosscutting. The tube can be filled with chilled water to chill the substrate at its bottom surface. Additionally, a roller breaking device can be positioned underneath the substrate and moved along the cut path a given distance behind the scribe area to effect full separation. This works best if the process table has slots underneath the intended cut. As a result, the force is placed well behind the scribe area, thereby ensuring straightness. Shear forces can also be used to separate substrates. These types of forces are especially useful for laminated materials, and will help minimize or reduce microcracks in the middle layer of a laminate by eliminating the bending moment introduced by the other techniques mentioned above.

Many modifications and other embodiments of the invention will come to the mind of one skilled in the art having the benefit of the teachings presented in the foregoing descriptions and the associated drawings. Therefore, it is to be understood that the invention is not to be limited to the specific embodiments disclosed, and that the modifications and embodiments are intended to be included within the scope of the dependent claims.

30

## THAT WHICH IS CLAIMED IS:

1. A method for splitting non-metallic substrates comprising the steps of:
  - 5 initiating a microcrack within the substrate;
  - scribing the substrate with a laser beam at a heat affected zone that is imparted onto the substrate by the laser;
  - quenching the microcrack at a quenching
  - 10 region that is within the heat affected zone by passing fluid from a quenching nozzle onto the substrate; and
  - applying a force onto the substrate at a location behind the quenching region to break the substrate while maintaining residual forces below a
  - 15 critical breaking force in front of the quenching region.
2. A method according to Claim 1, and further comprising the step of initiating the microcrack with a mechanical initiator.
- 20 3. A method according to Claim 1, and further comprising the step of directing the laser beam around the quenching nozzle via mirrors associated with the quenching nozzle.
4. A method according to Claim 1, and
- 25 further comprising the step of directing a liquid and gas from the quenching nozzle onto the substrate at the quenching region.
5. A method according to Claim 4, and further comprising the step of drawing a vacuum through
- 30 the nozzle to remove residual liquid and control air flow.
6. A method according to Claim 1, and further comprising the step of elevating the temperature of the substrate in a programmed manner
- 35 before scribing.

7. A method according to Claim 6, and further comprising the step of elevating the temperature by passing laser light through one of either a faceted or diffractive optical lens element.

5 8. A method for splitting non-metallic substrates comprising the steps of:

initiating a microcrack within the substrate;  
scribing the substrate with a laser beam via  
an integrated cracking device that directs the laser  
10 beam onto a heat affected zone that is imparted onto the substrate by the laser beam;

quenching the microcrack at a quenching region that is within the heat affected zone from a quenching nozzle that is integral with the integrated  
15 cracking device; and

applying a force onto the substrate at a location behind the quenching region to break the substrate.

9. A method according to Claim 8, and  
20 further comprising the step of maintaining residual forces below a critical breaking force in front of the quenching region.

10. A method according to Claim 8, and further comprising the step of initiating the  
25 microcrack with a mechanical initiator that is integral with the integrated cracking device.

11. A method according to Claim 8, and further comprising the step of directing the laser beam around the quenching nozzle via mirrors associated with  
30 the quenching nozzle.

12. A method according to Claim 8, and further comprising the step of directing a liquid and gas from the quenching nozzle onto the substrate at the quenching region.



13. A method according to Claim 12, and further comprising the step of drawing a vacuum through the quenching nozzle to remove residual liquid and control air flow.

5           14. A method according to Claim 8, and further comprising the step of elevating the temperature of the substrate in a programmed manner before scribing.

10           15. A method according to Claim 14, and further comprising the step of elevating the temperature by passing laser light through one of either a faceted or diffractive optical lens element.

16. An apparatus for splitting non-metallic substrates comprising:

15           a laser for generating a laser beam;  
            an integrated cracking device for receiving the laser beam and directing the laser beam onto a non-metallic substrate to define a heat affected zone and quenching the substrate at a quenching region contained  
20           within the heat affected zone, said integrated cracking device comprising

            a housing;  
            optics fitted within the housing for receiving and directing the laser beam onto  
25           the substrate; and

            a quenching nozzle mounted on the housing for quenching the substrate at a quenching region defined within the heat affected zone.

30           17. An apparatus according to Claim 16, and further comprising a mechanical initiator mounted on the housing for initiating a microcrack that requires the laser beam that had been directed from the integrated cracking device.

18. An apparatus according to Claim 16, and further comprising mirrors associated with the quenching nozzle for directing the laser beam around the quenching nozzle.

5           19. An apparatus according to Claim 16, wherein said quenching nozzle further comprises fluid channels for passing one of at least liquid or gas onto the substrate.

10           20. An apparatus according to Claim 19, wherein said quenching nozzle further comprises both liquid and gas channels for passing both liquid and gas onto the substrate.

15           21. An apparatus according to Claim 20, wherein said quenching nozzle further comprises a vacuum channel for drawing vacuum through the quenching nozzle to remove any residual liquid and control gas flow.

20           22. An apparatus according to Claim 16, and further comprising a laser scribe acceleration device for directing laser light onto the substrate before the integrated cracking device and elevating the temperature of the substrate.

25           23. An apparatus according to Claim 22, wherein said laser scribe acceleration device further comprises one of either a faceted or diffractive lens element.

          24. An apparatus for splitting non-metallic substrates comprising:  
          a laser for generating a laser beam;  
30           an integrated cracking device for receiving the laser beam and directing the laser beam onto a non-metallic substrate to define a heat affected zone and quenching the substrate at a quenching region contained within the heat affected zone, said integrated cracking  
35           device comprising

a housing;

a mirror and a single element lens  
fitted within the housing for receiving and  
directing the laser beam onto the substrate;  
and

a quenching nozzle mounted on the  
housing for quenching the substrate at a  
quenching region defined within the heat  
affected zone.

25. An apparatus according to Claim 24,  
wherein said single element lens comprises a double  
asymmetric cylinder lens element.

26. An apparatus according to Claim 24, and  
further comprising a mechanical initiator mounted on  
the housing for initiating a microcrack that receives  
the laser beam that had been directed from the  
integrated cracking device.

27. An apparatus according to Claim 24, and  
further comprising mirrors associated with the  
quenching nozzle for directing the laser beam around  
the quenching nozzle.

28. An apparatus according to Claim 27,  
wherein said quenching nozzle further comprises fluid  
channels for passing one of at least liquid or gas onto  
the substrate.

29. An apparatus according to Claim 28,  
wherein said quenching nozzle further comprises both  
liquid and gas channels for passing both liquid and gas  
onto the substrate.

30. An apparatus according to Claim 29,  
wherein said quenching nozzle further comprises a  
vacuum channel for drawing vacuum through the quenching  
nozzle to remove any residual liquid. and control gas  
flow.

31. An apparatus according to Claim 30, and further comprising a laser scribe acceleration device for directing laser light onto the substrate before the integrated cracking device and elevating the  
5 temperature of the substrate.

32. An apparatus according to Claim 31, wherein said laser scribe acceleration device further comprises one of either a faceted or diffractive lens element.

Laser Separation Process

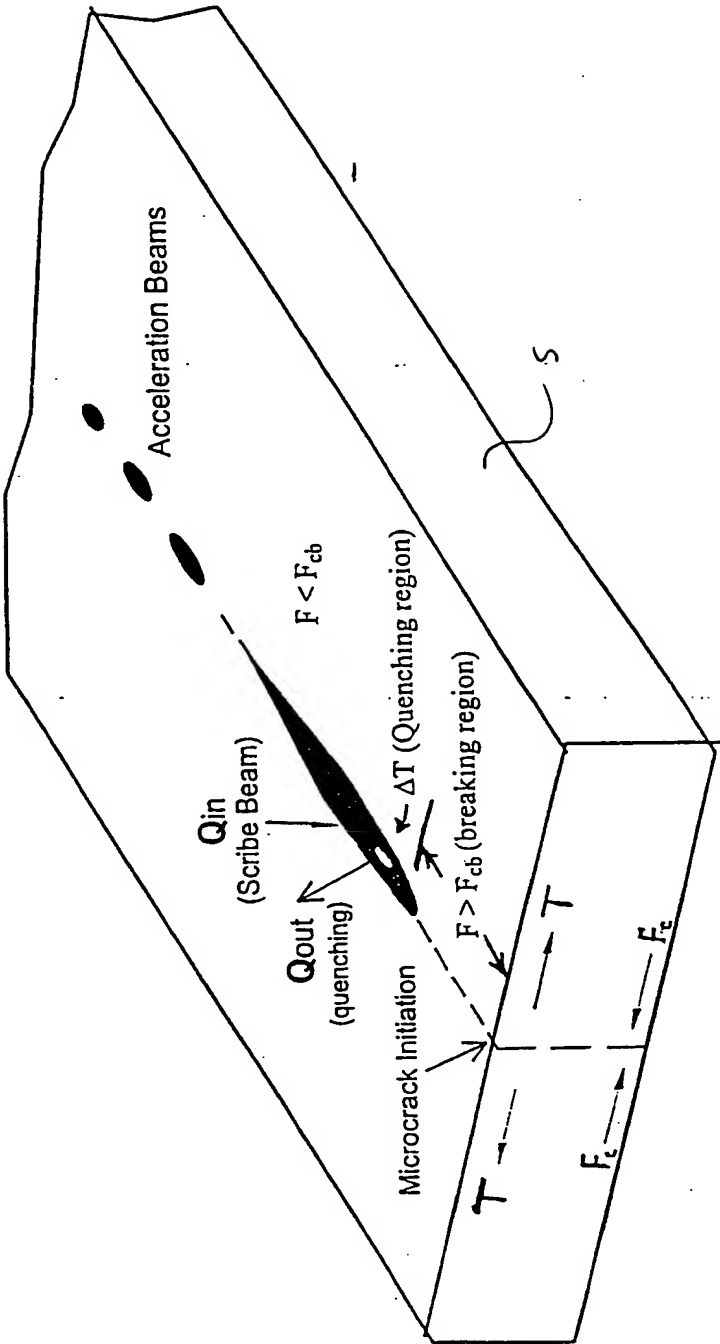
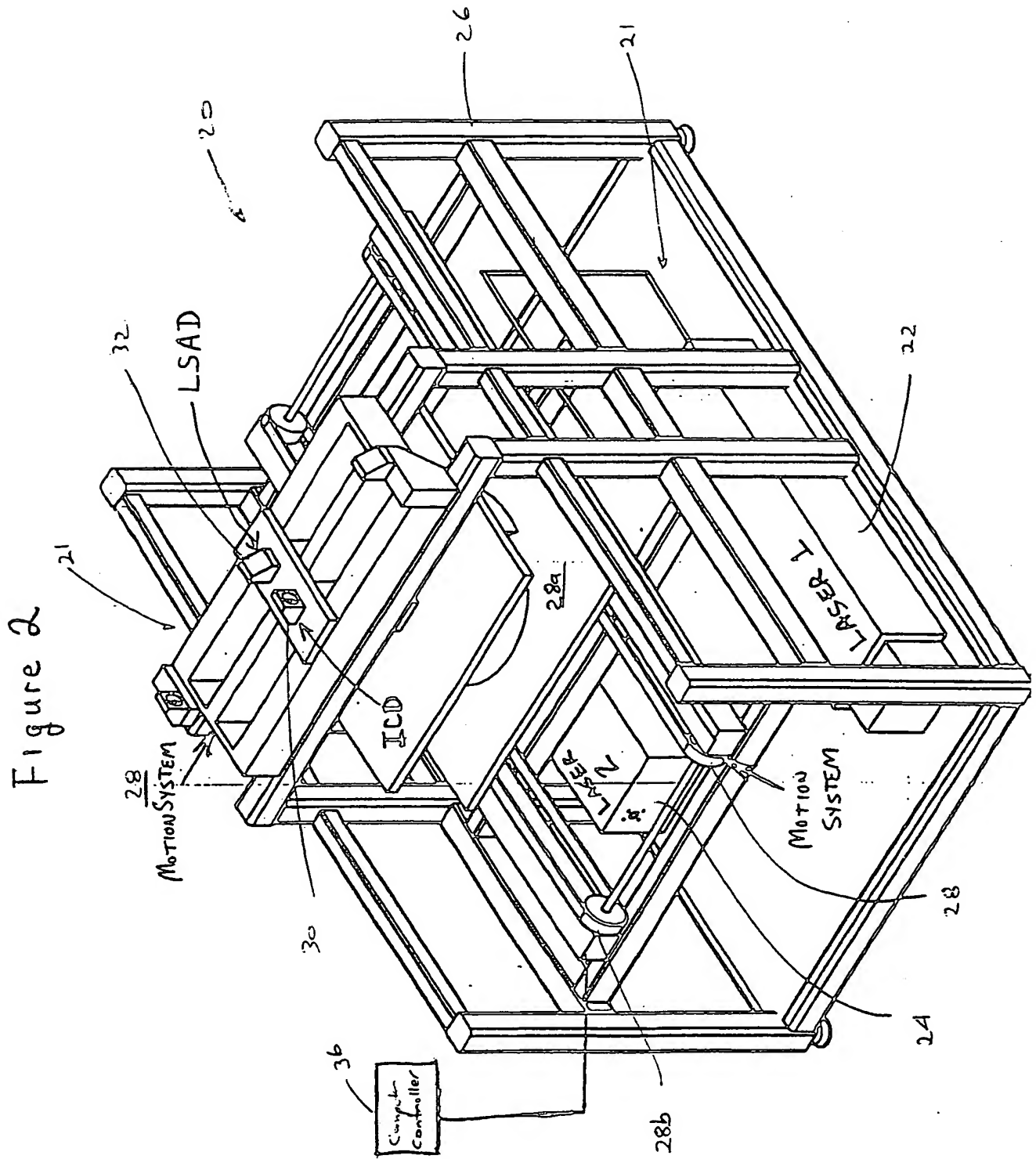


Figure 1



# INTEGRATED CRACKING DEVICE

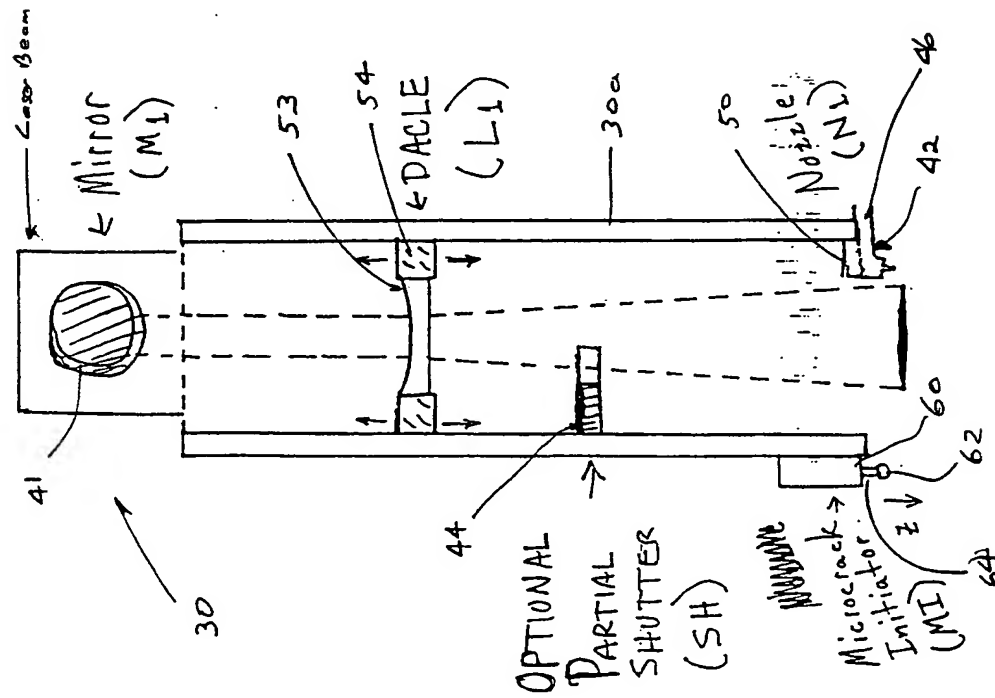


Figure 3 a

# ACCELERATION DEVICE

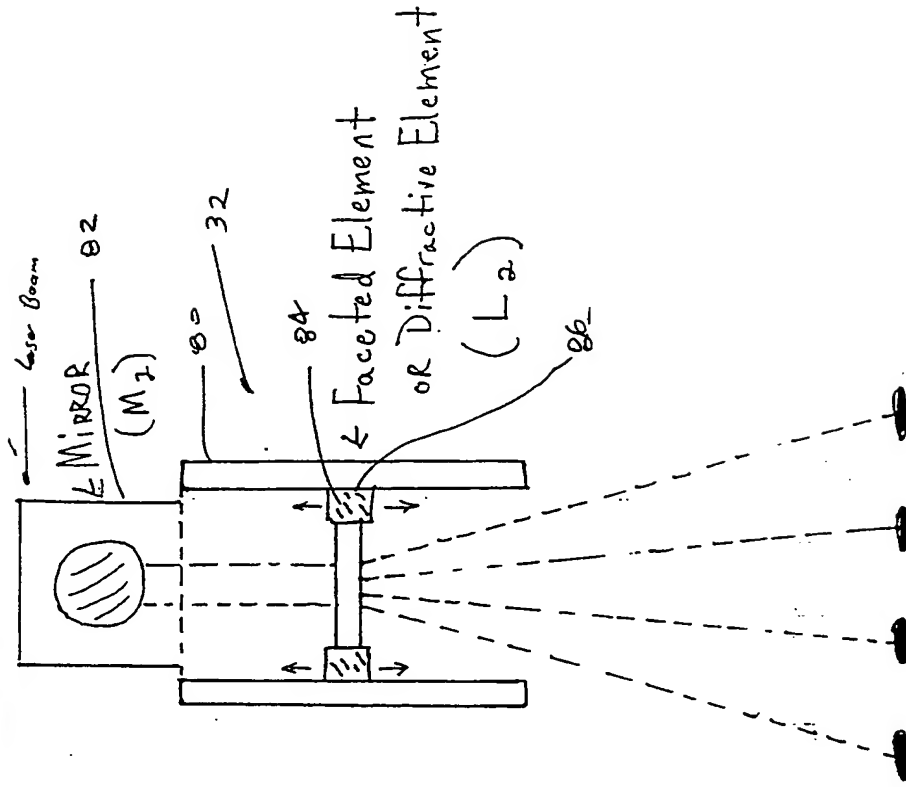
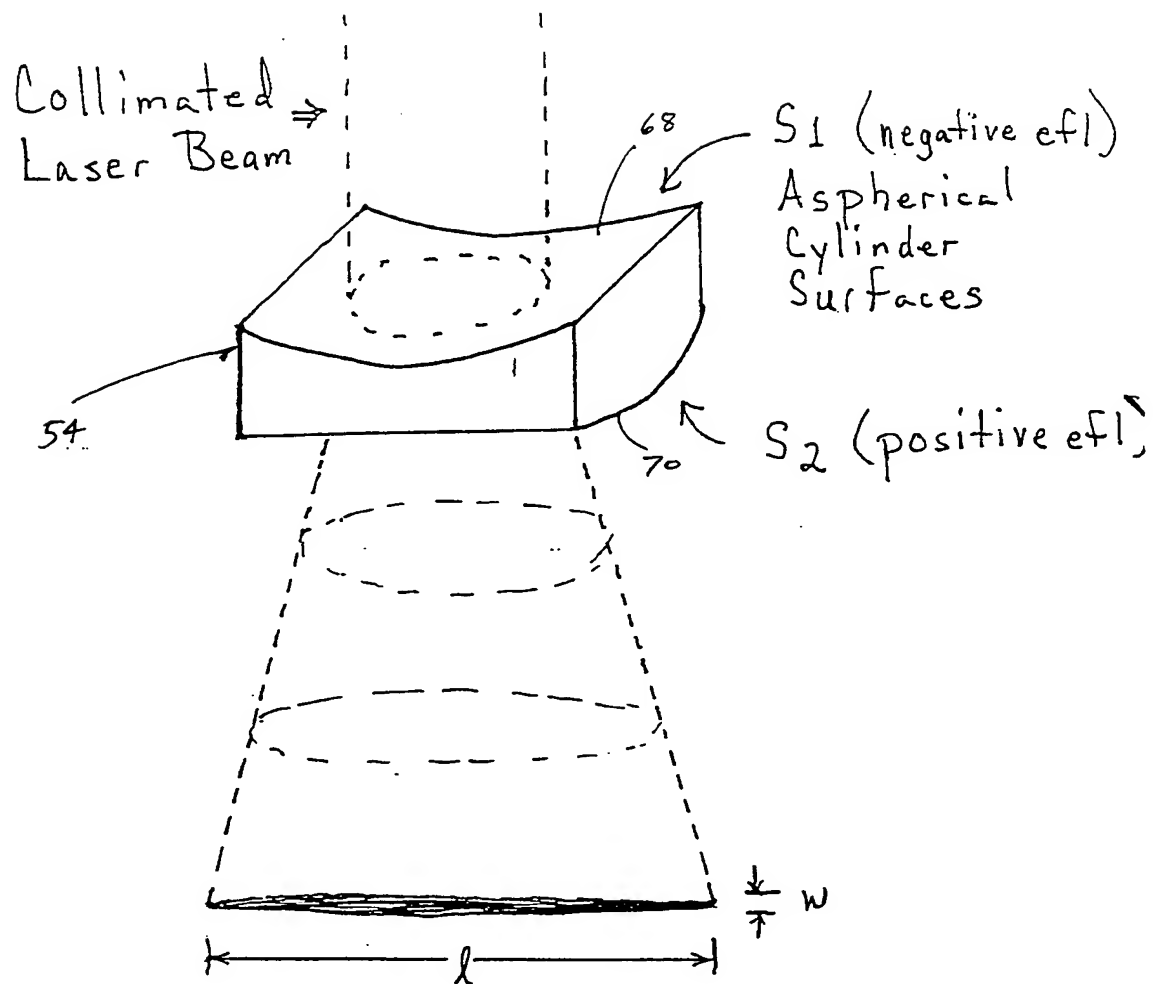


Figure 3 b

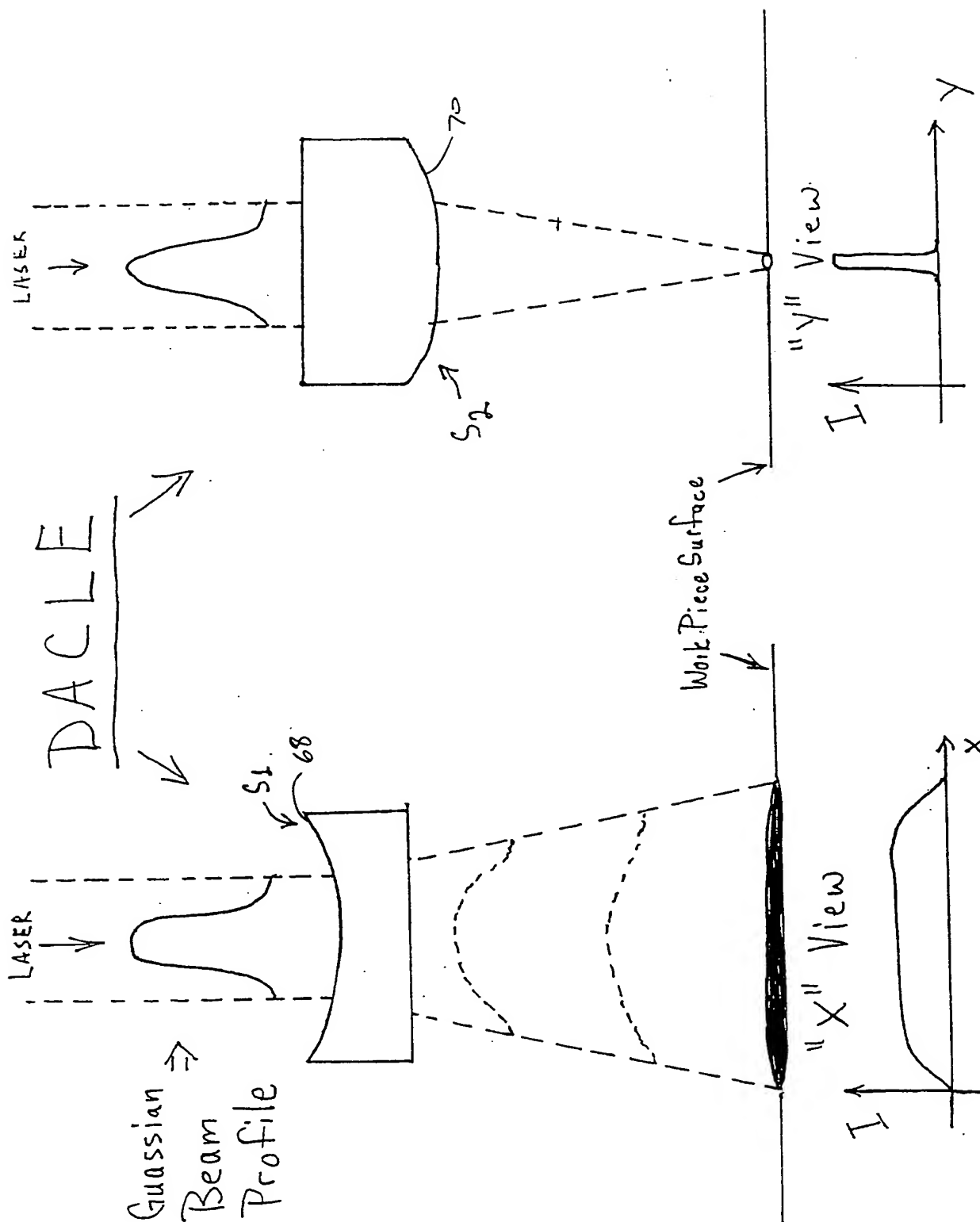
4 / 10



Double Asymmetric Cylinder Lens Element  
(DACLE)

Figure 4





BEAM PROFILES (Figure 5)

TRIPLE  
Nozzle

$$\frac{1}{6a}$$

TRUNCATED BEAM CONFIGURATION,  
(Figure 6)

# INFLATABLE CHANNEL BLADDER

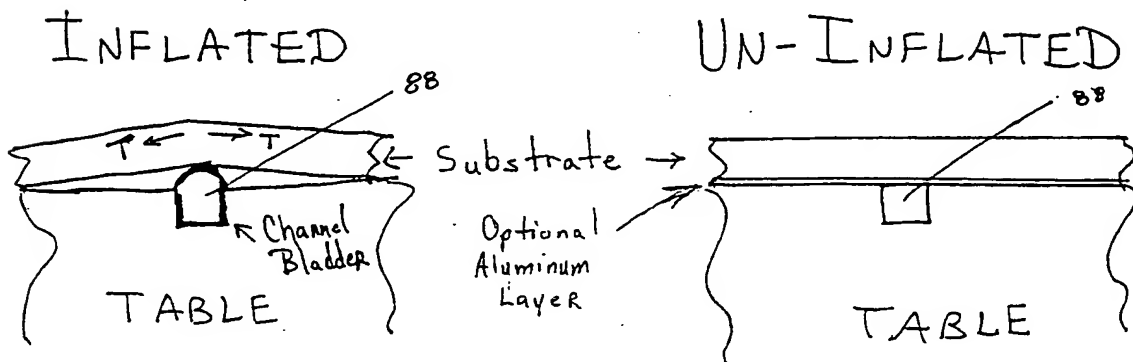
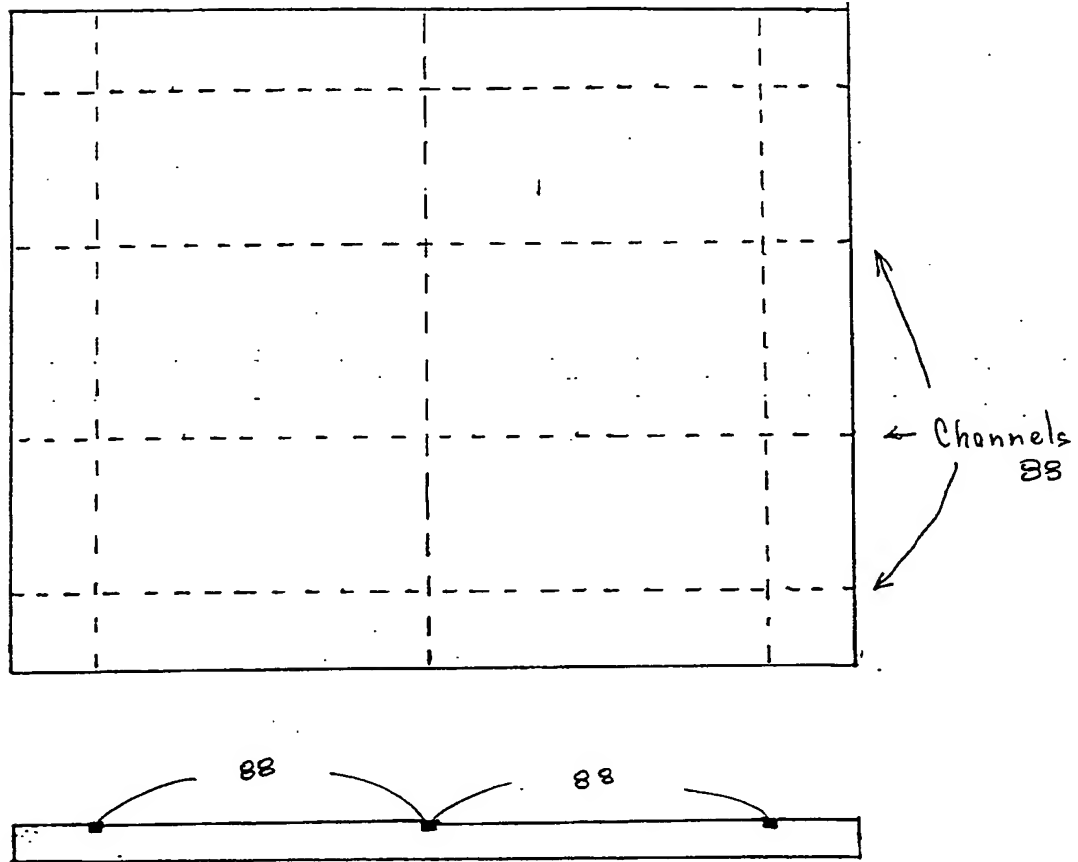


FIGURE 7

## CONTROLLING EDGE EFFECTS

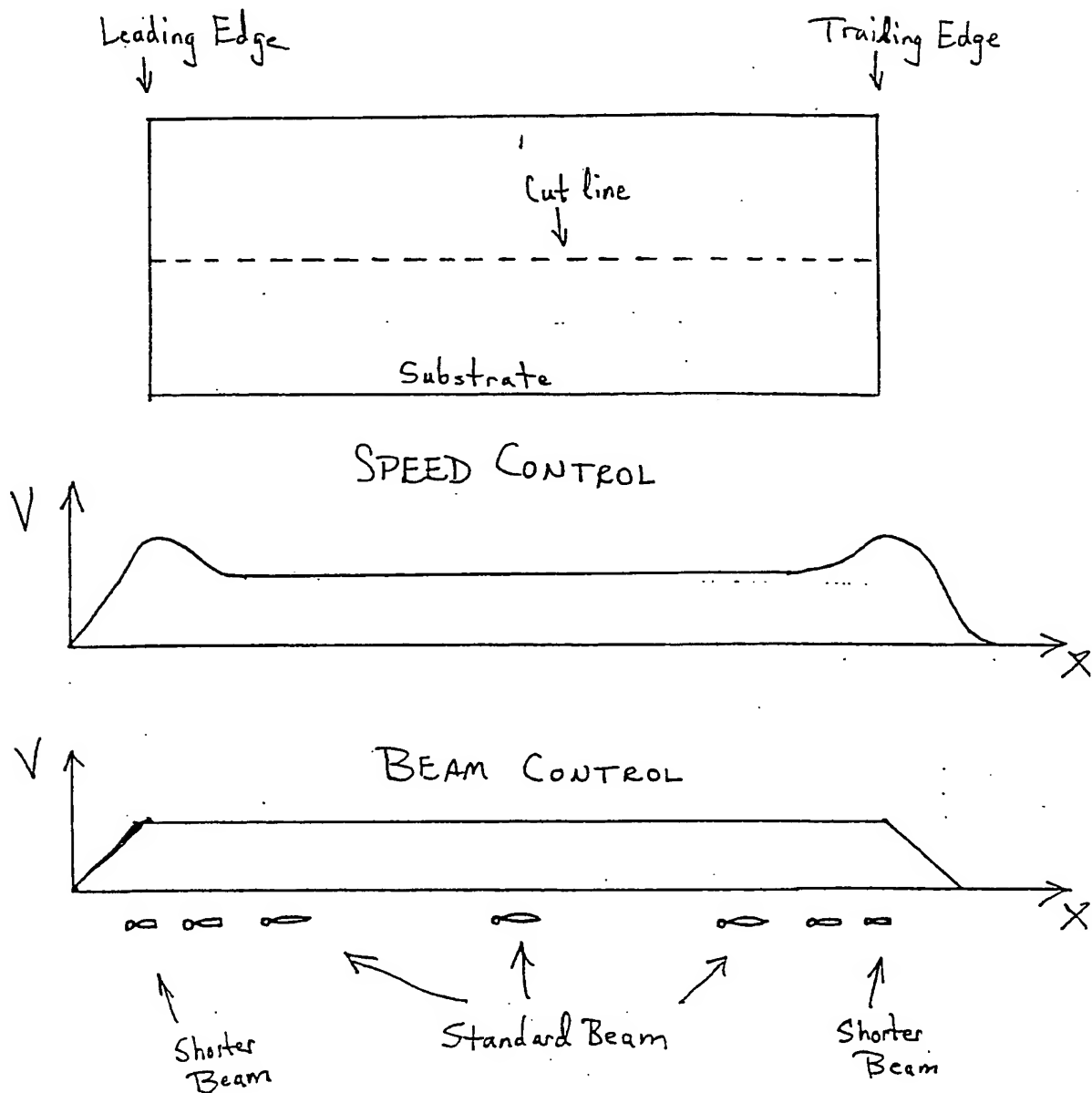


Figure 8

KEY

$\Delta$  = Microcrack Initiator  
 $\circ$  = Quenching

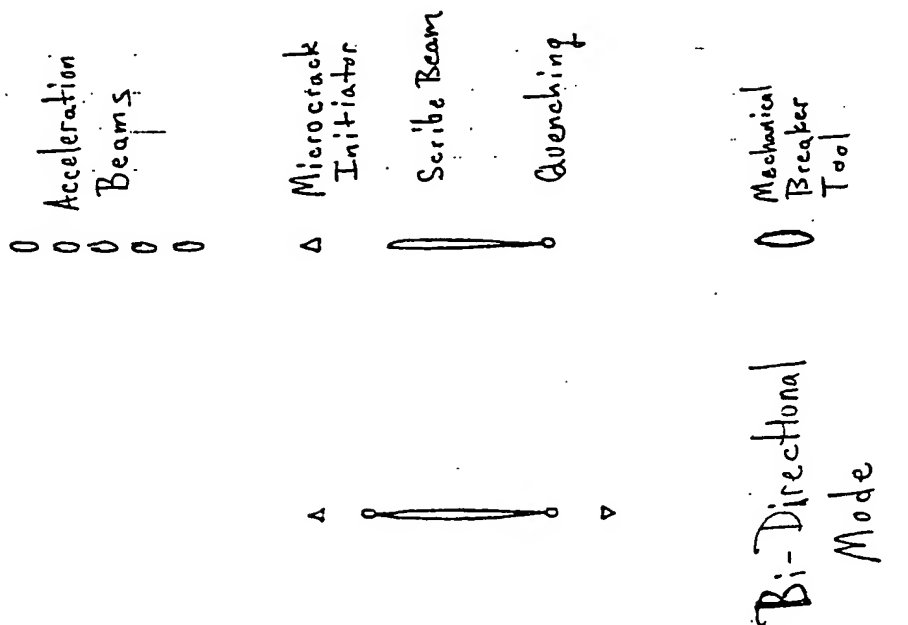


FIGURE 9

POSSIBLE OPERATIONAL MODES & CONFIGURATIONS

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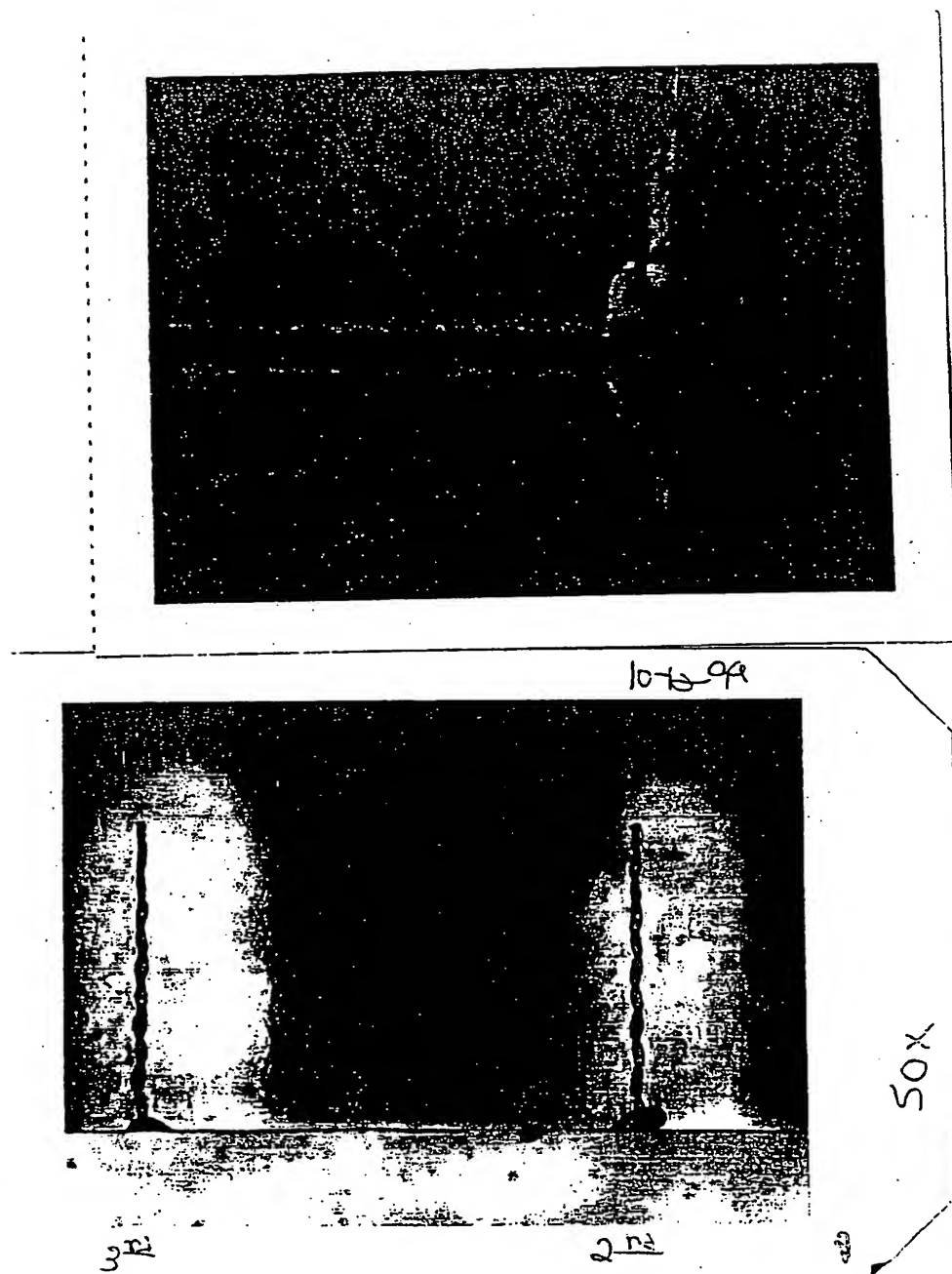


FIGURE 10

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/32076

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 B23K26/40 B23K26/14 C03B33/09

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B23K C03B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 609 284 A (KONDRATENKO VLADIMIR S) 11 March 1997 (1997-03-11) the whole document	1,4,8, 12,16,24
X	US 3 453 097 A (HAFNER WALTER) 1 July 1969 (1969-07-01) the whole document	16,19,20
A	US 5 871 134 A (KOMAGATA KAZUYUKI ET AL) 16 February 1999 (1999-02-16) the whole document	1,5,8, 24,28,29
A	US 5 871 134 A (KOMAGATA KAZUYUKI ET AL) 16 February 1999 (1999-02-16) the whole document	1,2,8, 10,16, 17,24

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

22 March 2001

Date of mailing of the international search report

29/03/2001

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	US 5 826 772 A (MENEGUS HARRY ET AL) 27 October 1998 (1998-10-27) cited in the application the whole document	1,5,7,8, 12,16, 19,24,29
A	US 3 610 871 A (LUMLEY ROBERT MILLER) 5 October 1971 (1971-10-05) cited in the application  the whole document	1,3,8, 12,15, 16,18, 24,27



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